

### NO.F.Conf/22-2/REG/2007(Vol-IV) - 784

Dated 18.07. 2024

### **NOTIFICATION**

In pursuance of the resolutions adopted by the 111<sup>th</sup> meeting of the Academic Council and the resolutions adopted by the 189<sup>th</sup> meeting of the Executive Council the following Regulations are hereby notified in terms of provisions contained under Section 27 of NEHU Act, 1973 to be read with Statute 42.

1. Draft Regulation on Post Graduate Diplomas in Music and Painting (Under OC-7 and OC-17)

Annexure-'A'

 Draft amendment of Regulation RC 20(A) on Master Technology Programme (Under Ordinance OC-17) Annexure-'B'

3. Draft Ordinance OC-4 and Regulation RC-23 on the Doctor of Philosophy Programme (UGC Ph.D. Regulation 2022)

Annexure-'C' & 'D'

This issues with the approval of the competent authority.

Joint Registrar (Conference)

### Copy to:

1.Shri Dharmendra Kumar Himanshu,

Under Secretary (CU-IV) to the Govt. of India, Ministry of Education, Department of Higher Education, Room No.216 'D' Wing, Shastri Bhavan, New Delhi- 110001.

- 2.All Deans of School, NEHU, Shillong.
- 3.All Heads of Departments/Centres, NEHU, Shilllong/Tura.
- 4.Prof. R.Khongsdier, Chairman, Standing Committee on drafting Ordinances/Regulation/Rules etc, Deptt.of Anthropology, NEHU, Shillong.
- 5. The Registrar/Finance Officer/Controller of Examinations/Librarian/ NEHU, Shillong.
- 6. The Dean Student Welfare; NEHU, Shillong.
- 7.All Officers, NEHU, Shillong/Tura
- 8.P.S. to the Vice-Chancellor, NEHU, Shillong.
- 9.PS to the Registrar, NEHU, Shillong.
- 10.Shri W.Thongni, Computer Operator, Centre for Bio-informatics in- corporation in the NEHU Website.

Joint Registrar (Conference)

## REGULATION ON POSTGRADUATE DIPLOMAS IN MUSIC AND PAINTING (Under OC-07 and OC-17)

Title	1.	These Regulations may be called the "NEHU Regulation on Postgraduate Diplomas in Music and Painting, 2023" (Under OC-7 and OC-17)
Definitions	2.	In this Regulation unless the context otherwise requires:  (1) "University" means North-Eastern Hill University (NEHU);  (2) "Academic Council" means the Academic Council of the University;  (3) "Board of Studies/School Board" means the Board of Studies/School Board of the University;  (4) "Regulations" means regulations of the University;  (5) "Programme" a series of courses of study leading to a Postgraduate Diploma;  (6) "Semester" means an academic semester of at least 90 working days excluding examinations;  (7) "Course" means one of the papers which comprise a specified postgraduate diploma programme of study;  (8) "Credit" means a unit by which the course work is measured in terms of the number of hours of instructions required per week or semester. One credit is equivalent to one hour of teaching or two hours of practical work per week;  (9) "Credit Point" means the product of the grade point and the number of credits corresponding to a letter grade for a specific course;  (10) "Grade Point" means a numerical value of a letter grade on the 10-point grading scale;  (11) "Semester Grade Point Average (SGPA)" means the ratio of the sum of the credit points secured by a student in all courses and the total number of credits in all courses over a semester;  (12) "Cumulative Grade Point Average (CGPA)" means the ratio of the total credit points secured by a student in all courses and the sum of the total credit points secured by a student in all courses and the sum of the total credit points secured by a student in all courses and the sum of the total credit points secured by a student in all courses and the sum of the total credit points secured by a student in all courses and the sum of the total credits over all the semesters of a programme;  (13) "Academic Year" means an academic semester of at least 90 teaching days excluding examination days;  (14) "Semester" means a person admitted to, and pursuing, a specified postgraduate diploma programme;  (16) "Candidate" means an applicant for admission to a
Courses and Du ration	3.	programme/examination of the University;  There shall be courses of study leading to the Postgraduate Diploma in Music (PGDM) and the Postgraduate Diploma in Painting (PGDP) spread over two academic semesters or one academic year from the date of admission.
Eligi bility Crite.ria for Admission	4.	<ol> <li>The minimum qualification for admission to the PGDM and PG.DP programmes shall be a Bachelor's Degree in any discipline.</li> <li>Preference shall be given to the candidates with background or aptitude in Music/Painting to be determined by the admission committee of the Department concerned.</li> </ol>
Admission Procedures	5.	(1) There shall be an admission test consisting of a personal interview and demonstration conducted by the concerned department before the

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		<ul> <li>commencement of each academic session.</li> <li>(2) A candidate shall be eligible for admission to a programme as per the position secured by her/him on the merit list, fulfilling other eligibility criteria.</li> <li>(3) Securing a place on the merit list shall not be a claim to admission if a candidate does not fulfil other criteria prescribed under RC-1.</li> <li>(4) Reservation of seats for candidates belonging to Scheduled Castes, Scheduled Tribes, and other categories shall be as per the policy laid down under RC-1.</li> </ul>
Intake Capacity	6.	(1) The number of seats available for admission to a programme shall be decided by the Department concerned and approved by the Academic Council from time to time.
8 .		(2) The Department concerned shall notify the number of seats, as approved by the academic Council, for admission in a particular year before the commencement of each academic session.
Course Structure	7.	<ol> <li>The course structure shall consist of Credit-Based Courses as per the syllabi approved by the Academic Council.</li> <li>Each programme shall consist of forty-four (44) credits – twenty (20) credits for the first semester and twenty-four (24) credits for the second semester. Each academic semester shall have courses with 4 credits.</li> </ol>
Credits/Contact Hours		One credit is assigned for 15 contact hours of teaching for theory, and 30 contact hours for practical.
Semester Duration	8.	<ol> <li>Each academic semester shall have at least 90 working days, excluding examination days.</li> <li>The dates of commencement and termination of each semester shall be fixed by the Academic Council.</li> </ol>
Attendance	9.	<ol> <li>The student shall be required to attend at least 75% of the lectures/ classes in each paper during a semester to be eligible to appear for the End-Semester Examination of that paper.</li> <li>The School Board may condone a shortage of attendance up to 5% at the request of a student recommended by the Department concerned. The Board may recommend, with justification, condoning a shortage of attendance of over 5% but not exceeding 15% to the Vice-Chancellor, whose decision shall be final.</li> <li>A student who fails/does not earn credits in a given semester, for any reason whatsoever, may be permitted to take the End-Semester Examination of that course/paper by attending classes for that paper/course in the corresponding semester(s) within four academic semesters (two years) from the date of admission.</li> </ol>

Fee structure	10.	The students shall have to pay the following fee	es or as
		revised by the Academic Council from time to	33
		a) Tuition fee	₹ 840.00
		b) Laboratory fee	₹ 360.00
		c) Admission fees	₹ 180.00
		d) Library Fees	₹ 120.00
		e) Sports Fees	₹ 120.00
		f) Medical Fee	₹ 180.00
6		g) Student Association Fund	₹110.00
		h) Student Aid Fees	₹120.00
		i) Laboratory Caution Money	₹ 480.00
		j) Library Caution Money	₹ 360.00
		k) Examination Fee	₹ 400.00
7		l) Bus fare	₹ 600.00
31		m) Smart ID Card	₹ 100.00
		n) Students Magazine	₹ 100.00
		,	
Examination and Evaluation	11.	(1) The dates of commencement and terminati fixed by the Academic Council.	on of each semester shall be
was any state of the		(2) Medium of instruction and medium of example (2)	minations shall be English.
		(3) A student shall apply for taking the end-set	
		Head of the Department. The Head of the I	
		all fees, including examination fee, have be	[TI]
		student.	para of the concerne
		(4) Evaluation in each course/paper including	the practical shall be based on
		performance in the Continuous Assessmen	
		Examination in the ratio of 1:3.	
		(5) The Continuous Assessment for each paper	r shall be based on the
	,	students' performance in written tests and/o	
		There shall be three continuous assessment	
		average marks of the best two performance	
		grading.	
		(6) A student who fails to sit the examination of	or who wishes to improve
		upon a paper may repeat the examination is	r total
	•	regular examinations of the concerned sem	ester by paying the prescribed
		fee, and she/he shall be allowed to complet	te the course within a
		maximum period of two years (four acader	nic semesters).
		(7) A candidate, who failed to appear/present I	nerself/himself for any
		examination, shall not be entitled to claim	a refund of fees.
		(8) For a candidate who failed to appear for an	
		theoretical paper but has successfully comp	
	1	such examination, the marks obtained in th	e practical paper shall be
		carried forward for the subsequent examina	
		candidate shall not be required to complete	a practical paper for the next
		examination.	
		(9) The End-Semester Practical Examination s	hall be jointly conducted by
		an external and internal examiner. If for an	
		examiner is not available, a panel of at leas	st three internal examiners
		constituted by the Head of the Department	and the second s
		of the School concerned may conduct the I	
		(10) The end-semester results shall be placed be	efore the Vice-Chancellor for

		approval after they have been moderated by the Moderation Board/Committee and laid down in RC-5.				
Award of Grades/Grade Points	12. (1) A candidate must earn 44 credits or at least 4 Grade Points on the point grading scale in each paper to qualify for the Postgraduate Diploma in Music/Painting, and she/he shall not be required to page continuous assessment separately.					
		(2) A student securing scale in each pape (3) The letter grade,	ng less than 4.0 er shall be decla	grade points on ared unsuccessfu		
		be as follows:  Letter Grade	Grade point	% Marks	Description	
		O O	10	90 to 100	Outstanding	
		A+	9	80 to < 90	Excellent	
		A	8	70 to < 80	Very Good	
			7	60 to < 70	Good	
	İ	B+	6	50 to < 60	Above Average	
		В				
		C	5	45 to < 50	Average	
		P	4	40 to < 45	Pass	
		F	0	Below 40	Fail/Absent	
issued to each student which will contain the list of papers semester and the grades obtained by the student.  (2) The Semester Grade Point Average (SGPA) will be calculated follows: $ \frac{\sum_{i=1}^{N} (C_i \times GP_i)}{\sum_{i=1}^{N} C_i} $ where $C_1$ is the number of credits of paper, $GP_1$ is the point scored in that paper and N is the total number of in a particular semester.  (3) On successful completion of the programme, the Cumulative Point Average ( $CGPA$ ) will be calculated as follows: $ \frac{\sum_{i=1}^{N} (C_i \times GP_i)}{\sum_{i=1}^{N} C_i} $ $ CGPA = \frac{\sum_{i=1}^{N} (C_i \times GP_i)}{\sum_{i=1}^{N} C_i} $						
		point sin a pa  (3) On successfu Point Average  CGPA	scored in that particular semested completion of the (CGPA) will be $A = \frac{\sum_{i=1}^{N} (C_i \times GP_i)}{\sum_{i=1}^{N} C_i}$ N is the total number of the control	aper and N is the er. the programme, e calculated as fo	total number of paper the Cumulative Grad ollows:	
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	. 14.	point s in a pa (3) On successfu Point Average  CGPA  where (4) The SGPA are and reported if This Regulation shall the university and s	scored in that particular semested completion of the (CGPA) will be $\sum_{i=1}^{N} (C_i \times GP_i) = \sum_{i=1}^{N} C_i$ N is the total number of CGPA shall the transcripts of the effective from the cover all	the programme, the calculated as for the programme, we calculated as for the calculated as for the calculated off to the calculated off to the calculated off to the calculated off to the calculate District Postgraduate D	total number of paper the Cumulative Grad ollows:  For the programme, two decimal points c session as notified b ploma Programmes i	
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Approved by Academic Council, vide AC:110:2023:5:1:(iv) and AC:111:2023:5:1(ii)

Annexuse - (B)

### AMENDMEN'IT TO RC-20(A)

## REGULATION ON THE MASTER OF TECHNOLOGY PROGRAMMES (Under Ordinance OC-17)

		Existing	Amendment
Title	1.		These Regulations may be called the "NEHU (Amendment)
	1		Regulation RC-20(A) on the Master of Technology
			Programmes, 2023"
			(Under Ordinance OC-17)
Definitions	2.		In this Regulation unless the context or herwise requires:  (1) "University" means North-Eastern Hill University (NEHU);  (2) "Regulations" means regulations of the University;  (3) "Academic Council" means the Academic Council of the University;  (4) "School Board" means a School of Studies of the University;  (5) "Department" means "a Department of Studies, and includes a Centre of Studies of the University;  (6) "Programme" means a series of courses of study leading to a Master's degree;  (7) "Semester" means an academic semes ter of at least 90 working days excluding examinations;  (8) "Course" means one of the papers which comprise a specified programme of study;  (9) "Credit" means a unit by which the coursework is measured in terms of the number of hours of instructions required per week or semester. One credit is equivalent to one hour of teaching or two hours of
			practical work per week;
			(10) "Grade Point" means the product of the grade point

			and the number of credits corresponding to a letter grade for a specific course:  (11) "Massive Open Online Courses (MOOCs)" means such online courses which are developed through SWAYAM Platform, Ministry of Education.  Government of India;  (12) "Study Webs of Active Learning for Young Aspiring Minds (SWAYAM)" means the national online course platform designed for offering online courses to achieve the three cardinal principles of India's Education Policy: access, equity, and quality;  (13) "Student" means a person admitted to, and pursuing, a specified credit-based course/programme of the University;  (14) "Candidate" means an applicant for admission to a programme/examination of the University;  (15) "Academic Bank of Credits" means an academic service mechanism as a digital or online entity established by the UGC and adopted by the University to facilitate students to become its academic account holders.
Eligibility Criteria for Admission	3.	1(A) The qualifications for admission to M. Tech are mentioned below:	(1) Eligibility qualifications for admission to M.Tech. Programmes shall be as prescribed below:
2		(i) M.Tech. in Electronics & Communication Engg: He/she must have passed B.E./B.Tech. in Electronics & Communication Engg./Electrical Engg./M.Sc. in Electronics with a minimum of 60% marks in aggregate or 6.0 Credit in 10 point scale (relaxable by 5% for SC/ST Candidates). GATE qualified candidates will be given preference.	(i) M.Tech. in Electronics and Communication Engineering: B.E./B.Tech. in Electronics and Communication Engineering (ECE)/ Electrical Engineering (EE)/M.Sc. in Electronics/ Instrumentation with a minimum of 60% aggregate marks (55% for SC/ST candidates).

(ii) M.Tech. in Information Technology: He/She must have passed B.E./B. Tech. in Information Technology/
Computer Science& Engg./Electronics & Communication Engg./Electrical Engg./MCA or M.Sc. in Computer Science/Electronics having secured a minimum of 60% marks in aggregate or 6.0 Credit in 10 point scale (relaxable by 5% for SC/ST candidates). GATE qualified candidates will be given preference.

(iii) M. Tech in Nano Technology: He/she must have passed B.E/B.Tech in Nano.Technology/Biochemical Engg./Biomedical Engg./Biotechnology/ Chemical Engg./Biomedical Engg./Biotechnology/ Chemical Engg./Electronics Engg /Energy Engg /Electrical-Engg./Information Technology/Mechanical Engg./Metallurgical Engg./M.Sc. in Nano Science/ Physics/Chemistry/Material Science/ Electronics/ Biotechnology and allied courses having 60%marks in aggregate or 6.0 Credit in 10 point scale (relaxable by 5% for SC/ST candidate). GATE qualified candidates will be given preference.

(iv) M. Tech. in Biomedical Engineering: A candidate must have passed B.Tech./B.E. in Biomedical Engineering/ Bioinstrumentation/Medical Electronics/Biochemical Engineering/Biotechnology/Electrical Engineering/ Electronics and Communication Engineering/Mechanical Engineering/Computer Science and Engineering/ Information Technology/Instrumentation and Control Engineering/MBBS with Internship Course/4year Physiotherapy Programme/B. Pharma/M.Sc. in Biomedical Instrumentation/Electronics/Instrumentation/Physics/and other allied disciplines with a minimum of

- (ii) M.Tech. in Information Technology: B.E./B.Tech. in Information Technology (IT)/Computer Science and Engineering (CSE)/ECE/EE/MCA or M.S.c. in Computer Science/Electronics with a minim. am of 60% aggregate marks (55% for SC/ST candidates).
- (iii) M. Tech. in Nano Technology: B.E./B.Tech. in Nano Technology/Biochemical Engineering (BME)/
  IT/Biotechnology/Instrumentation/Chemical
  Engineering/ECE/Energy Engineering/EE/Mechanical
  Engineering/Metallurgical Engineering/M.Sc. in Nano Science/Physics/Chemistry/Material Science/
  Electronics/Biotechnology and allied courses with a minimum of 60% aggregate marks (55% for SC/ST candidates).
- (iv) M. Tech. in Biomedical Engineering: B. E./B.Tech. in BME/Bio-instrumentation/Medical
  Electronics/Biochemical Engineering/Biotechnology/
  EE/Mechanical Engineering/CSE/IT/Instrumentation
  and Control Engineering/MBBS with Internship
  Course/4-year Physiotherapy Programme/B Pharma/
  M.Sc. in Biomedical Instrumentation/Electronics/
  Instrumentation/Physics/and other allied disciplines with
  a minimum of 60% aggregate marks (55% for SC/ST)
  candidates).

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		60% marks in aggregate or 6.0 grade points on a 10point grading scale (55% for SC/ST candidates) from University/Institute recognised by UGC or equivalent regulatory authority. Preference will be given to GATE qualified candidates.  B. Foreign candidates, children of Indian Workers in the Gulf and wards of Kashmiri migrants, and industrial sponsored candidates shall be admitted against supernumerary seats as per the rules of NEHU.	Notwithstanding convained in Clause 3(1) above, GATE-qualified candidates shall be given 5% points additional weightage. Candidates shall be required to provide the percentage-conversion formula of their Institutions in case of results declared in CGPA.  (2) International candidates, children of Indian Workers in the Gulf and Wards of Kashmiri migrants, and industrial-sponsored candidates shall be admitted against supernumerary seats as per the University rules.
Admission Procedure	4.	A) The number of seats available for admission to the programme shall be 18.  B) A merit list shall be prepared based on the Gate score and/or entrance examination conducted by concerned department.	<ol> <li>(1) Each Department shall constitute an Admission Committee to oversee the admission process.</li> <li>(2) The number of seats available for admission to an M. Tech. Programme shall be 23 or as decided from time to time by the Academic Council.</li> <li>(3) Admission process shall be based on marks/scores in the Common University Entrance Test (CUET) for Postgraduate Programmes conducted by the National Testing Agency (NTA), or any other recognized agency approved by the Academic Council.</li> </ol>
		C) The distribution of seats shall be as follows:	(4) The University policy on reservation, weightages, and preparation of merit lists for different categories of candidates shall be followed as prescribed under RC-1.
		a. Open category 50% Reserved for SC/ST: 50% b. Supernumerary Seat: The following categories will come under supernumerary seats, subject to fulfillment of all eligibility criteria i. Foreign Students (subject to 10%)	To be deleted as already provided under RC-1. To be deleted as already provided under RC-1.

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ii. Ex-servicemen (subject to 5%) iii. Kashmiri migrants iv. Children of Indian workers the Gulf countries v. NEHU wards (one seat) To be deleted as already provided under RC-1. c. Reserved for Physically challenged: 5% quota for the differently-abled applicants shall be taken from the respective category subject to a minimum of one seat. Weightage to be given for Differently-abled, subject to examination by a Medical Board as given below: Level of Physical disability 40% to 60% More than 60% d Weightage for Educationally backward areas (domicile of Meghalaya). Certificate in To be deleted as already provided under RC-1, this regard should be issued by the Block Development Officer. Weightage Level of Educational Backwardness 5% below the National Level More than 5% below the National Level To be deleted as already provided under RC-1. e Weightage for Outstanding Sports women/men - Weightage shall be given as shown in the table below Weightage Level of Sport Activity Representing the Country at International Level 10 Representing the State at National Level 5 Inter-university at National Level To be deleted as already provided under RC-1. f. Weightage for NSS Candidate: A Weightage of 3 will be given to NSS candidate who qualify for all the three conditions, i.e. (i), (ii) & (iii) below and are required to submit documents in support of their claims: i. 240 hours of service in a span of 2 years under NSS and

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		attended at least one special camp. ii. Donated blood in NSS organized blood donation. iii. Participated at inter-University/ State/ National International NSS events.	,
	And the state of t	g. In case of students who (a) Graduated from colleges affiliated to or maintained by NEHU OR (b) Are permanent domiciles of Meghalaya (supported by SC/ST or Permanent Resident Certificate) graduating	To be deleted as already provided un der RC-1.
		from other universities, a weightage of 10 shall be given.  h. Selection Process: With regard to the Selection Process, the merit list shall be prepared based on the following- i. 50% marks assigned to qualifying academic result. ii. 50% marks assigned to entrance test conducted by respective departments or any other statutory bodies. iii. Different weightage in the form of additional points obtained from (d), (e), (f) and (g) above as per NEHU rules, subject to a maximum of 20 points	To be deleted as already provided under RC-1.
Department/ Board of School	5.		<ol> <li>(1) Subject to the general guidance of the Board of School, the instruction and routine in each course shall be under the control and direction of the Head of the Department concerned.</li> <li>(2) As for the work in the Programme and the general conduct in the University, each student shall remain under the control of the School and the discipline of the Department concerned.</li> </ol>
Duration and Programme Structure	6.	A) The courses shall be of 2(two) academic years. Each academic year shall consist of 2 (two) semesters. The final year will primarily consist of project work/thesis only.  B) The programme shall consist of courses as detailed in	(1) The duration of the Programme st all be two academic years, and each academic year shall consist of two academic semesters. Each academic semester shall have at least 90 working days, excluding examination days.  (2) The course and credit structures of the Programme

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		the syllabus including the provision of Massive Open Online Courses (MOOCs) on SWAYAM platform under open elective courses as per the UGC/AICTE, and in accordance with the Regulation on MOOCs, as approved by the academic council.	shall be as detailed in the syllabus, including provisions for MOOCs on the SWAYAM platform as per the UGC/AICTE guidelines approved by the Academic Council. The final year will primarily consist of dissertation/project works.  (3) The dates of commencement and termination of each semester shall be fixed by the Academic Council.
Attendance	7.	4(F) A student must attend a minimum of 75% classes in each paper during a semester to be eligible to appear in the end semester examination of that paper of the semester 4(G) The Dean of the School may consider and decide, on request from the candidate through the Head of the Department, for condonation of the shortage in attendance up to 5%. Further, the student's request for condonation of any shortage in attendance with more than 5% and less than 15% may be recommended by the Head of Department & Dean of the School to the Vice-Chancellor with full justification. The decision of the Vice-Chancellor with full justification of the required attendance in a paper in a semester shall not be permitted to sit for the end semester examination of that paper.	(1) A student must attend a minimum of 75% of the classes/lectures for each paper during a semester to be eligible to appear for the end-semester examination of that paper.  (2) The School Board may condone a shortage of attendance up to 5% at the request of a student recommended by the Department concerned. The School Board may recommend, with justification, condoning a shortage of attendance of over 5% but not exceeding 15% to the Vice-Chancellor, whose decision shall be final.  To be deleted [see 7(1) above]
Examination and Evaluation	8.	A A student shall apply for admission to end- semester examination to the Dean of the School through Head of the concerned Department who shall certify that all dues, including examination fee, have been paid.	(1) Students shall apply for admission to the end-semester examination in the prescribed pro-forma to the Dean of the concerned School through the Head of the Department. Such applications shall be accompanied by certificates indicating that the concerned students have paid all dues, and they have attended a minimum number of lectures, tutorials, seminars, and such, in respect of all courses.  (2) The Board of School shall appoint the examiners or a

- B. Each subject taken by a student in a semester shall consist of the following components of examination: (i) Sessional examination (Theory and/or Fractical) (ii) End semester examination (Theory and/or Practical).
- C. For the purpose of determining the marks obtained by a student in any paper of the programme, the weightage assigned to sessional work and end semester examination shall be in the ratio of 2:3.
- D. The evaluation of sessional work (in papers other than Project work) shall be made on the basis of student's performance in (a) one mid semester examination (b) written assignments/ seminars/quizzes/field reports, or any other equivalent mode of assessment/examination. The evaluation of the sessional (other than mid semester examination), the average of two best performances shall be considered for each student. The sessional evaluation of Project work shall not consist of written tests.

- Board of examiners for each programme of study as per the Regulations concerning PG Examinations of the University.
- (3) Evaluation of each course/paper in a semester shall be based on students' performance in (i) Continuous Assessment (Theory and/or Practical), and (ii) End-Semester Examination (Theory and/or Practical).
- (4) To determine the marks obtained by a student in any paper, the weightage assigned to the continuous assessment and the End-Semester Examination shall be 2:3.
- (5) The continuous assessment shall be based on the student's performance in (i) one mid-semester examination, (ii) written assignments/seminars/quizzes/field reports, or any other equivalent mode of assessment/examination. The average of the two best performances shall be considered for the continuous assessment (other than the mid-semester examination). The continuous assessment of project work shall not be based on written tests.
- (6) The end-semester examination for practical/project work shall be jointly conducted by an external examiner (Associate Professor/Professor) and an internal examiner. If for any reason, the external examiner is not available, a panel of at least three internal examiners constituted by the Head of the Department and approved by the Dean of School shall conduct the practical/project work examination.

E. Evaluation and award of grade point for each To be deleted [Inserted under Clause 8(3) above] paper shall be done on the basis of performance in continuous sessional assessment and endsemester examination. (7) The end-semester results shall be placed before the Vice-Chancellor for approval after they have been screened by a Committee consisting of the Head of the Department concerned and not less than two faculty members appointed by the Dean on the recommendation of the Head of the Department. Notwithstanding anything contained in this Clause, University rules for examination and evaluation shall be followed as prescribed under RC-5. I. Ordinarily, a student should complete the entire (1) Ordinarily, a student shall be required to complete the Improvement entire programme during the period of 4 sem esters. and Revaluation programme during the period of 4 semesters. However, a student may be allowed to participate in the academic However, a student may be allowed to participate in the academic programme up to a maximum of eight programme up to a maximum of 6(six) consecutive consecutive semesters from the date of admission beyond semesters calculated from the date of admission. which she/he shall be required to seek fresh admission. (2) If a student does not clear a paper due to J. Students who fail to appear in the end semester absence/failing to secure the requisite marks/grade points, examination of a paper or grade point 'O' in a paper may repeat examination in that paper along with regular she/he shall be allowed to clear that paper in the corresponding subsequent semester(s) by paying the examinations of the concerned semester by paying the prescribed fee. No student shall however be allowed to prescribed fee. Provided that in exceptional circumstances, like appear more than three times in a paper including the maternity leave/serious illness, a student shall be granted regular one, but within the maximum period described in two more semesters beyond which the student must seek clause 4 (I). fresh admission.

10.	5. The grade points and the corresponding description on the basis of percentage of marks obtained by a student in a			The letter grade, grade point, and equivalent percentage of marks shall be as follows:					
	*			Description		Letter	Grade	% Marks	Description
		Graue roint	70 ULWIATES	Description		O	10	90 10 100	Outstanding
		10	90 to 100	Outstanding	1	A+	9	80 to < 90	Excellent
			-		1	A	8	70 to - \$80	Very Good
					1	B+	7	60 to < 70	Good
					1	В	6	50 to < 60°	Above Average
					1	C	5	45 to < 50	Average
	Comments of the Comments of th				1	P	4		',ass
					1	F	0	Below 40	Fat. Absent
	the list of popular by the stude (B) The Ser Semester whave passed calculated a	apers for that so ent. mester Grade P ill be calculate i all the papers is follows:	emester and the oint Average (S d only for those of that semester	grades obtained GPA) for each students who	of (2) See See See See See See See See See Se	papers, code  The Semester will be seminored by the seminored by t	er Grade Pointe calculated a $\times GP_i$ number of cre $GP_i$ is the grade	le points, etc.  t Average (SCiFs follows:  dits assigned to point scored in	'A) for each  a a tha, paper
	11.	the basis of particular p  Letter Grade O A B C D E F  11. (A) After th Cards will t the list of p by the stude (B) The Ser Semester w have passed calculated a	the basis of percentage of particular paper will be as    Letter   Grade Point     Grade     O   10     A   9     B   8     C   7     D   6     E   5     F   0      11. (A) After the results of a second to each the list of papers for that so by the student.  (B) The Semester Grade P	the basis of percentage of marks obtained particular paper will be as follows:    Letter   Grade Point   % of Marks	the basis of percentage of marks obtained by a student in a particular paper will be as follows:    Letter   Grade Point   % of Marks   Description	the basis of percentage of marks obtained by a student in a particular paper will be as follows:    Letter   Grade Point   % of Marks   Description     Grade   O   10   90 to 100   Outstanding     A   9   80 to < 90   Excellent     B   8   70 to < 80   Very Good     C   7   60 to < 70   Good     D   6   50 to < 60   Fair     E   5   40 to < 50   Average     F   0   Below 40   Fail    11. (A) After the results of a semester are declared, Grade   Cards will be issued to each student which will contain the list of papers for that semester and the grades obtained by the student.  (B) The Semester Grade Point Average (SGPA) for each Semester will be calculated only for those students who have passed all the papers of that semester. SGPA will be calculated as follows: $SGPA = \frac{\sum_{i=1}^{n} (C_i * DGP_i)}{\sum_{i=1}^{n} C_i}$ with particular papers of the student will be calculated as follows:	the basis of percentage of marks obtained by a student in a particular paper will be as follows:    Letter   Grade Point   % of Marks   Description     O   10   90 to 100   Outstanding   A+     A   9   80 to < 90   Excellent     B   8   70 to < 80   Very Good     D   6   50 to < 60   Fair     E   5   40 to < 50   Average     F   0   Below 40   Fail	the basis of percentage of marks obtained by a student in a particular paper will be as follows:    Letter   Grade Point   % of Marks   Description	the basis of percentage of marks obtained by a student in a particular paper will be as follows:    Letter   Grade   Grade   Point   % of Marks   Description

		number of papers in a particular semester.  The cumulative Grade Point Average (CGPA) will be calculated after successful completion of the programme and that will be given in the final Grade Card of the candidates. CGPA will be calculated as follows: $CGPA = \sum_{i=1}^{N} (C_i * DGP_i) \sum_{i=1}^{N} C_i$ where N is the total number of papers for the M.Tech programme.	<ul> <li>(3) On successful completion of the Programme, the Cumulative Grade Point Average (CGPA) will be calculated as follows:  \[ \sum_{i=1}^{N} (C_i \times GP_i) \\ \times_i^N C_i \]  where N is the total number of credit papers for the M.Tech. Programme.  (4) The SGPA and CGPA shall be rounded off to two decimal points and reported in the transcripts.  (5) The credits earned by a student in the end-semester examinations may be deposited in the Acade mic Bank of Credits (ABC), if necessary, as per the UGC AICTE guidelines. The validity of credits earned will be up to a maximum period of seven years or as prescribed under the ABC.</li> </ul>
Award of Degree	12.	(A), In order to qualify for the award of M. Tech degree in a programme, a student shall have to earn credits as per syllabus approved by the Academic Council, for that programme. To earn credits assigned to a course, a student shail have to secure in that course at least grade point 5 in the seven-scale grading system as per clause 6.  (B) Successful students shall be awarded the M. Tech.	(1) In order to qualify for the award of M. Tech, degree in a Programme, a student shall have to earn at least 4.0 grade points on the 10-point grading scale as per the minimum credit requirements in each paper of the four academic semesters.  (2) A student securing less than 4.0 grade points on the 10-point grading scale in each paper shall be declared unsuccessful/fail.  To be deleted
		degree under the following classifications: (i) First Class. Those who obtain CGPA in the range of 6.5 and above. (ii) Second Class: Those who obtain CGPA in the range	

		of 5 and below 6.5.  For the purpose of calculating % of marks equivalent to the CGPA secured by a student who has successfully completed M.Tech. programme of NEHU, the following conversion formulae shall be used.  % of marks = 10*CGPA -5	
Fee Structure	13.	(A) A candidate admitted to M. Tech. Course shall pay all fees laid down for general postgraduate (PG) students of science stream.  B) In addition, the student in M. Tech. Programme in the University shall be required to pay the following fees: (I) Development fee (Payable once at the time of admission): (i) Indian candidates Rs. 8000/- (ii) Foreign candidates from SAARC countries US \$ 1500/- (iii) Foreign candidates from non-SAARC countries US \$ 2500/- (Il) Course fee (Payable for each semester): (i) Industry/academic institutions sponsored candidate Rs. 1500/- (iii) Foreign candidates from SAARC countries US \$ 1,500/- (iv) Foreign candidates from non-SAARC countries US \$ 3,600/- (III) Repeat course participation fee (For each course): (i) Indian candidates Rs.2, 000/- (iii) Foreign candidates from SAARC countries US \$ 3,600/- (III) Repeat course participation fee (For each course): (i) Indian candidates from SAARC countries US \$ 200/- (iii) Foreign candidates from SAARC countries US \$ 200/- (iii) Foreign candidates from non-SAARC countries US \$ 200/- (iii) Foreign candidates from non-SAARC countries US \$ 200/-	(1) A student admitted to an M.Tech. Programme shall pay all fees laid down for the general postgraduate students of the science stream. In addition, M.Tech. students shall be required to pay the following fees or as revised by the Academic Council from time to time: (1) Development fee (Payable once at the time of admission): (i) Indian students ₹ 8000.00) (ii) International students from SAARC countries US \$ 1500.00 (iii) International students from non-SAARC countries US \$ 2500.00 (ii) Course fee (Payable for each semester): (i) Indian students ₹ 5000.00 (ii) Industry/academic institutions sponsored students Rs. 1500.00 (iii) International students from SAARC countries US \$ 1,500/- (iv) International students from non-SAARC countries US \$ 3000.00 (III) Repeat course participation fee (For each course): (i) Indian students ₹ 2000.00 (ii) International students from SAARC countries US \$ 200.00 (iii) International students from SAARC countries US \$ 200.00 (iii) International students from SAARC countries US \$ 200.00 (iii) International students from SAARC countries US \$ 200.00 (iii) International students from non-SAARC countries US \$ 200.00
Commencement and Application	14.		This Regulation shall be effective from the academic session as notified by the University and shall cover all

	T	~	Postgraduate Technology Programmes within the jurisdiction of the University.
Removal of difficulties	ŀ	and difficulty origing in interpretation of or giving effect	Notwithstanding anything contained in this regulation, any difficulty arising in interpretation of, or giving effect to, any provision of this regulation shall be referred to the Vice-Chancellor, whose interpretation or decision thereon shall be final.

shall be final.

Amended vide Resolution No. AC:110:2023:5:1:(v) and approved by AC, vide AC:111:2023:5:1:(iii)

Annoque - (C)

### AMENDMENT TO OC-4

## ON THE DOCTOR OF PHILOSOPHY PROGRAMMES (Under Section 26 (1)(b) of the NEHE Act, 1973)

	Existing	Amendment
Title	1.	These ordinances shall be called the "NEHU (A.mendment)
1111		Ordinance OC-4 on PhD Programmes, 2023"
		(Under Section 26 (1)(b) of the NEHE Act, 1973)
Definitions	2	In this ordinance, and in all Regulations made hereunder
		unless the context otherwise requires:
		(1) "University" means North-Eastern Hill University
		(NEHU);
		(2) "Regulations" means regulations of the University;
		(3) "Executive Council" means the Executive Council o
		the University;
		(4) "Academic Council" means the Academic Council
		of the University;
		(5) "School Board" means a School of Studies of the
		University;
		(6) "Board of Studies" means a Board of Studies of the
		University;
		(7) "Department" means "a Department of Studies and
		includes a Centre of Studies of the University;
		(8) "College" means an institution engaged in higher
		education and/or research affiliated to or established
		by NELIU.
	•	(9) "DRC" means the Department Research Committee
		of a Department/Centre of the University;
		(10) "Programme" means courses of study and/or
		research leading to a degree of the Doctor of
		Philosophy (PhD);
		(11) "Master's Degree" means a 1-year/2-semester

	master's degree programme after a 4-year Bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year Bachelor's degree programme or equivalent from the University or any recognised institution;
	(12) "Coursework" means the one-semester courses of
	study prescribed by a Department/Centre to be
	undertaken by a student registered for the PhD
	programme;
	(13) "Course" means one of the units/papers which comprise a PhD programme;
	(14) "Research Proposal" means a brief write-up
	outlining the research proposal, which a PhD scholar submits along with the application for PhD
	registration;
	(15) "Credit" means the number of hours of instruction
	required per week over the duration of a semester.
	(16) "Grade Point" means a numerical value of a letter
	grade on the 10-point grading scale;
	(17) "Cumulative Grade Point Average (CGPA)"
'	means a measure of the overall cumulative
	performance of a student in all courses during a coursework semester;
	(18) "Interdisciplinary Research" means a research
	conducted by a PhD scholar involving two or more
	academic disciplines;
	(19) "Plagiarism" means the act of taking or copying
	someone else's words, ideas, or intellectual property
	without proper references and presenting them as
	one's own original work;
	(20) "Supervisor/Co-Supervisor" means an
	academician or researcher/scientist recognized by

		the University to supervise a PhD scholar for his/her research, (21) "Student/Scholar" means a person admitted to and pursuing a PhD programme of the University; (22) "Candidate" means an applicant for admission to a programme/examination of the University;
Eligibility 3. Criteria for Admission	1.  i. The admission to the Ph.D programme shall be only once in a year.  ii. Each Department and Centre of Study shall decide the number of Ph.D students to be admitted in a year and convey the same to the registrar of the University.  iii. Candidates with 55% marks of 'B' grade in the 7-point UGC scale at the Master's Degree level or equivalent in the concerned or allied/ cognate subject is eligible for admission. However, a relaxation of 5% marks, from 55% to 50% or an equivalent relaxation of grade, may be allowed for those belongings to ST/SC/OBC (non-creamy layer)/Differently-abled and other categories candidates as per the decision of the UGC from time to time, or for those who had obtained their Master's degree prior to 19th September, 1991.	(1) The admission to the PhD programme shall be only once in a year.  (2) Each Department/Centre of Study shall decide the number of PhD students to be admitted in a year and convey the same to the Registrar of the University for notification/uploading on the University's website  (3) Candidates with at least 55% aggregate marks or equivalent grade points on the UGC 10-point grading scale at the Master's Degree/MPhil Degree or equivalent level in the concerned or allied/cognate subject from the University or a recognised institution (national/international) are eligible for admission. A relaxation of 5% marks (from 55% to 50%) or equivalent grade points shall be given to candidates belonging to ST/SC/OBC (non-creamy layer)/EWS/Differently-abled and other categories as per the UGC decision and approved by the Academic Council from time to time.  (4) A candidate seeking admission to the PhD programme after a 4-year/8-semester Bachelor's Degree programme should have a minimum of 75% aggregate marks or equivalent grade. A relaxation of 5% marks (from 75% to 70%) or equivalent grade points shall be given to candidates belonging to ST/SC/OBC (non-creamy layer)/EWS/Differently-abled and other

		iv. The Reservation Policy of Government of India/ UGC shall be followed. v. The Head of the Department/ Centre shall forward the list of students admitted to the programmed to the University.	categories as per the UGC decision and approved by the Academic Council from time to time.  (5) The Reservation Policy of the Government of India/UGC shall be followed.  (6) The Head of the Department/Centre shall forward the list of students admitted to the PhD programme, as prescribed under RC-23, to the University through the Dean of the School Board concerned.
Admission Procedure	4		Admission process shall be as follows:  (1) Candidates who have qualified UGC-NET (including JRF)/UGC-CSIR NET (including JRF)/SLET/GATE/ICSSR NET and similar National Level Tests based on an interview.  AND/OR  (2) Each Department/Centre of Study shall conduct an Entrance Test consisting of 50% of research methodology and 50% of the concerned subject. Candidates who have secured at least 50% marks in the Entrance Test shall be called for interview. A relaxation of 5% of marks (from 50% to 45%) shall be allowed for the candidates belonging to SC/ST/OBC (non-creamy layers)/EWS/ differently-abied and other categories.  (3) As regards the selection of candidates, a weightage of 70% shall be given to the Entrance Test scores and 30% to the candidates' performance in the interview/viva voce.

#### Coursework

i. A Ph.D student is required to undergo a coursework of one-semester comprising minimum 14 credits. However, a student with M. Phil. degree of this University, with letter grade 'B' or above shall be exempted from the requirement of coursework. The coursework shall be such as prescribed by the Academic Council

ii. The coursework shall comprise 4 (four) papers of which three papers will have 4 credits each including a paper on Research Methodology and another paper with 2 credits on Research and Publication Ethics (RPE).

iii. In order to qualify for final registration, a student is required to secure a letter grade of 'B' or above in the coursework with minimum letter grade of 'C' in each

iv. Papers shall be evaluated internally as per the grading system provided in the Regulations.

v. In case of a student having completed M.Phil/Ph.D. Coursework with requisite CGPA had discontinued the programme and is admitted again for Ph.D programme, the coursework requirement be exempted. Further, in case a student who had completed M.Phil. Ph.D coursework in another recognized University/ Deemed University/ Institution of Higher Learning is admitted to the Ph.D. programme, she/he may be exempted from coursework requirement subject to production of transcript to this effect.

vi. In the event of a candidate failing to obtain the

- (1) A PhD student shall be required to complete one semester's coursework comprising a minimum of 14 credits. Provided that a student with an MPhil degree securing at least 55% aggregate marks or equivalent grade shall be exempted from the requirement of coursework.
- (2) The coursework structure shall comprise 4 (four) papers, of which three papers with four credits each (including a paper on Research Methodology) and another paper with two credits on Research and Publication Ethics (RPE).

(3) A PhD scholar must obtain a minimum of 55% marks or equivalent grade points on the 10-point grading scale in the coursework to be eligible for final registration to the PhD programme.

(4) The weightage for the continuous assessment and the end-semester examination shall be 1:3, and the pattern and schedule of examination and evaluation shall be as prescribed in RC-23.

(5) A student who discontinued the MPhil/PhD programme after completing the coursework with the requisite CGPA from the University/recognised institution shall be exempted from the coursework requirement in case she/he is admitted again to the PhD programme.

(6) A PhD student failing to obtain the required

		required letter grade, she/he shall be provided another chance in the paper (s) after three months but not later than six months from the date of declaration of the result to secure the requisite grade, failing which his/her admission/ provisional registration shall automatically stand cancelled.	marks/grade points may be provided another chance to repeat/improve the paper (s) after three months but not later than six months from the date of the declaration of results, failing which his/her admission/provisional registration shall automatically stand cancelled.  (7) All PhD scholars, irrespective of discipline, shall be required to train in teaching/education/pedagogy/writing related to their chosen PhD subject, in addition to 4-6 hours per week of teaching/sesearch assistantship for conducting tutorial or laboratory work.
Supervisor	6.	i. Any regular Professor of the University with at least five research publications in referred journals and any regular Associate/Assistant Professor of the University with a Ph.D. degree and at least two research publications in referred journals shall be eligible to supervise a Ph.D. candidate External Supervisors are not allowed. Provided that in areas/disciplines where there is no or only a limited number of refereed journals, the University shall relax the above condition for recognition of a person as a research Supervisor with reasons recorded in writing.	(1) Permanent faculty members working as Professor/Associate Professor of the University with at least five research publications in refereed journals or any regular Assistant Professor of the University with a PhD degree and at least three research publications in refereed journals shall be eligible to supervise a PhD candidate. External Supervisor(s) shall not be allowed. Provided that PhD scholars working in Central government/State government research institutions whose degrees are offered by NEHU, the scientists in such research institutions, equivalent to Professor/Associate Professor/Assistant Professor, may be recognized as Co-Supervisors if they fulfill the above requirements. Provided further that in areas/disciplines where there is a lack of or only a limited number of refereed journals, the above conditions may be relaxed and approved by the Academic Council to recognize a per magent faculty member as a research Supervisor.

ii. The Department/ Centre shall appoint a supervisor for each student within 30 days of admission. A Department/Centre may appoint Jt. Supervisor (s) on recommendation of the Supervisor from within or other Department/Centre depending on the academic requirement. Provided further that Joint Supervisor(s) may be appointed from another recognized University/Deemed University/ Institute of Higher Learning/Reputed Research Laboratory in the manner prescribed in the Regulation.

iii. The maximum intake of Ph.D. students for a Supervisor at any given point of time shall be as follows:

Professor -

Associate Professor - 6
Assistant professor - 4

A vacancy in the intake capacity of a supervisor shall arise only when a student submits the thesis. iv. During a given year, no supervisor shall take more

than two Ph.D. candidates. However, the restriction of 2 (two), scholars per teacher at a time may be relaxed by the Vice Chancellor under the Clause "Removal of Difficulties", provided that vacancies and eligible candidates are available in a Department.

v. In the event of a supervisor of a registered candidate leaving the University or under circumstances of death or incapacitation of a supervisor making him/ her unable to supervise a registered candidate, The Department/ Centre shall appoint an alternate supervisor within 30 days of such eventually with intimation to the Dean of the School concerned.

vi. In the event of a supervisor of a registered student leaving the University or under circumstances of death in

(2) A Department/Centre shall appoint a Supervisor for each student within 30 days of admission. The Department/Centre may also appoint a Co-Supervisor on the recommendation of the Supervisor from within or another Department/Centre/Recognized Institution/Reputed Research Laboratory concerning inter-disciplinary research work, depending on the academic requirement to be recorded in writing.

(3) A Professor, Associate Professor, and Assistant Professor shall guide up to 8 (eight), 6 (six), and 4 (four) PhD scholars, respectively, at any given point in time. A vacancy in the intake capacity of a Supervisor shall arise only when a student submits the PhD thesis.

(4) A Supervisor shall take up to two PhD candidates in a given academic year. However, the Vice-Chancellor may relax the restriction of two scholars per teacher at a time, provided vacancies and eligible candidates are available in the Department/Centre concerned.

(5) In case a Supervisor of a registered candidate leaves the University, or under circumstances of death or incapacitation of a Supervisor, the concerned Department/Centre shall appoint an alternate Supervisor within 30 days of such eventuality with intimation to the Dean of the School concerned.

(6) In the case of relocation of a &male PhD scholar due to marriage or otherwise, the research data shall be

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incapacitation, the Department/ Centre shall appoint transferred to the institution to which the scholar intends another supervisor within 30 days of such eventuality with to relocate, provided all conditions in this Ordinance are followed, and the research work does not pertain to a intimation to the concerned School Board. project sanctioned to NEHU/Supervisor by any funding agency. Such a scholar shall, however, give due credit to the University and the Supervisor for the part of the research already undertaken. (7) Faculty members with less than three years of vii. A Teacher due to superannuate in less than three years shall not be appointed as a supervisor, however, service before superannuation shall not be allowed to take new research scholars under their supervision. she/he may be appointed as a Joint Supervisor, in case a supervisor superannuates during the period of registration However, she/he may be appointed as a Co-Supervisor, but not after attaining the age of 70 years. of Ph.D student(s) under her/ his supervision, the Department/Centre may appoint Joint Supervisor from (8) If a Supervisor superannuates during the period of among the faculty to oversee the progress of the student and facilitate the administrative aspects. registration of a PhD student under her/his supervision, she/he may continue to supervise the student as a Supervisor till the age of 70 years. In such a situation, the Department/Centre may appoint a Co-Supervisor from among the faculty to oversee the student's progress and facilitate administrative requirements. (9) Each supervisor can guide up to two international students as prescribed under RC-27 on a supernumerary basis over and above the pennitted number of PhD scholars as specified in Clause 6(3) above. Registration (1) The date of a candidate's admission to the PhD 1. The date of admission shall be treated as the date of provisional registration. programme shall be considered the date of provisional registration. II. The application for final registration shall be made by (2) The application for final registration shall be made the student to the Department/ Centre concerned in the by a PhD student to the Department/Centre concerned in proforma prescribed, along with a detailed research proposal including the (a) Title, (b) Scope of study and its the prescribed pro-forma along with a detailed research

significance, (c) Hypothesis. Research issues / Questions and (d) Methodology including laboratory techniques, field method (including sampling and design etc), as the case may be. The student shall present the research proposal by way of a seminar in the Department/ Centre concerned before her/ his application for final registration.

- III. The Department Research Committee (DRC) constituted for the purpose by the Department/ Centre shall scrutinize the Ph.D proposals submitted and communicate comments/ observations, in any, to the candidates(s) concerned for incorporation in the proposal where ever possible/ feasible not later than 30 days of submission of the proposal.
- IV. The Department Research Committee (DRC) constituted by the Department / Centre shall assess the proposal (s) submitted and communicate comments/ observations, if any, to the student (s) concerned for incorporation in the proposal where ever possible/ feasible not later than 30 days of submission of the proposal.
- V. Upon clearance from the DRC, the proposal may be forwarded by the Department/ Centre to the concerned Board of studies (BOS) or the Steering Committee (SC), for consideration. The Research proposal after incorporation of suggestion, if any, shall be forwarded by the BOS. SC to the School Board concerned for approval/improvement/ rejection.

  VI. Upon approval of the proposal by the School Board

proposal inclusive of the Title of study, Scope of study and its significance, Brief review of literature, Hypothesis, Research problems/questions, and Methodology – including laboratory techniques, field method, sampling and design, and such, as the case may be. The student shall present the research proposal through a seminar in the Department/Centre concerned before applying for final registration.

(3) Each Department/Centre shall constitute a Department Research Committee (DRC) to oversee and review the PhD proposals submitted by candidates. The comments and observations/suggestions made by the DRC must be duly considered by a candidate for incorporation in the research proposal 30 days before submission to the Bo ard of Studies.

#### To be deleted

- (4) Upon clearance from the DRC, the proposal shall be forwarded by the Department 'Centre to the concerned Board of Studies (BOS)/Steering Committee (SC) for consideration. After incorporating suggestions, if any, the Research proposal shall be forwarded by the BOS/SC to the School Board concerned for consideration of registration.
- (5) Upon approval of the research proposal by the

School Board concerned, a candidate may be deemed to concerned, the student shall be deemed to have been have been finally registered from the date of admission. finally registered from the date of admission. However, the candidate is required to complete in process A candidate shall be required to complete the registration process not later than 18 months from the date of of registration not later than 18 months from the date of admission, failing which the admission to the admission, failing which her/his application for registration to the programme shall be cancelled. programmed shall stand cancelled. VII. A student who wishes to alter/modify the topic (for (6) A student who wishes to modify the topic with justifiable reasons to be recorded may submit the revised justifiable reasons to be recorded) may submit the altered proposal to the Department/Centre concerned within one /modified proposal to the Department/ Centre concerned year from the date of approval of the School Board for within one year from the date of approval of the School consideration of the BOS/SC and subsequent approval by Board for consideration of the BOS/SC and subsequent the School Board concerned. approval by the concerned School Board. However, minor modification(s) on the title of the thesis Provided that minor modification(s) on the title of the thesis may be carried out within 6 (six) months could be carried out within 6 months prior to the probable date of submission of the thesis or immediately after prebefore the date of submission of the thesis or immediately after the pre-submission seminar, as the submission seminar, as the case may be, with approval case may be, with approval of the Dean of the School to from the Dean of the School and reported to the School be reported to the School Board concerned. Board VIII. The registration of student is liable to cancellation (7) The registration of student is liable to cancellation under the following circumstances: under the following circumstances: (a) Non-payment of prescribed fees to the University. (a) Non-payment of prescribed fees to the University. (b) Unsatisfactory progress of a student reported by the (b) Unsatisfactory progress reported by the Department/ Centre to the School Board; and / or Department/Centre to the School Board. (c) Indulgence in misconduct/financial irregularities and (c) Indulgence in misconduct/financial irregularities. academic misdemeanor (e.g., plagiarism) leading the Academic misdemeanour (e.g. plagiarism) leading to University into disrepute. disrepute of the University. Duration (1) The duration of the PhD programme shall be at least I. Ph.D. programme shall be for a minimum duration of three years, including course work and a maximum of 3 (three) years, including course work, and a maximum of 6 (six) years from the date of admission. 6(six) years.

Extension beyond the above limit of six years shall be as follows: a. The women candidates and persons with disability (more than 40% disability shall be allowed two more years beyond six years. (2) Upon the recommendation of the Department/Centre II. The name of a student shall be removed from the rolls of Study, the concerned School Board may extend the of the University if she/he fails to submit thesis within 6 Registration of a candidate for a maximum period of two (six) years of the date of admission. Provided that in exceptional circumstances, the School Provided that such a candidate shall present her/his Board on the recommendation of the Department/Centre progress of research and the Department/Centre, upon of study may extend the Registration of the candidates being satisfied with the progress and reasons for the concerned for a maximum period of two years. delay in submission of the thesis, may recommend the Provided further that such a candidate shall present her/her progress of research and the Department/ Centre, application for an extension of the period of registration not exceeding 8 (eight) years from the date of admission upon being satisfied with the progress and reasons for to the PhD programme. delay in submission of thesis, may recommend the Provided further that female PhD candidates and application for extension of period of registration. persons with disabilities (having more than 40% III. However, in no case a candidate shall be permitted to submit the thesis after the lapse of 6 (six) years from the disability) may be given an additional relaxation of 2 (two) years, but not exceeding 10 (ten) years from the date of admission, and 8(eight) years for candidates date of admission. mentioned in the Clause 5(i) (a). (3) Female PhD candidates may be granted Maternity IV. In case a woman candidate applies for maternity leave Leave/Child Care Leave for up to 240 days during the during the period of registration, the leave shall be granted entire duration of the PhD programme. However, such as per Government of Indian Policy on such matters. leave granted to a female candidate shall not entitle her However, such leave granted to a candidate shall not to seek an extension of registration beyond the maximum entitle her to seek extension of registration beyond the of 10 (ten) years. maximum stipulated period as in 5(i) (a). Review of (1) Every Supervisor shall regularly monitor the 1. The Supervisor shall regularly monitor the progress of Progress progress of the research work of a PhD scholar under the research work of the candidate. In case the supervisor finds that the progress of the candidate is not satisfactory. her/his supervision. If the Supervisor finds that the research scholar's progress is not satisfactory, a report to a report to this effect shall be submitted to the Head of the

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Department / Centre who may issue a warning to the candidate in this regard. In case, in the opinion of the Supervisor the candidate has failed to show any progress, the matter shall be enquired into by the DRC and a report on the matter submitted to the concerned Dean who shall then place the matter in the School Board for consideration under provision in 5 (vii) of this Ordinance.

II. The candidate shall appear before the Departmental Research Committee (DRC) once in six months to make presentation of the progress of his/her work for evaluation and further guidance. The six monthly progress report shall be submitted to the University. In case the progress of the research scholar is unsatisfactory and fails to implement the corrective measures by the DRC, the Department may recommend to the University with specific reasons for cancelation of the Registration of the scholar.

III. The Candidate is required to make at least two paper presentation in participate in conferences/seminars before the submission of Ph.D thesis.

IV. The candidate shall be required to publish at least one research paper in a refereed journal or at least provide evidence of acceptance to this effect before the submission of Ph.D thesis.

8(V) The candidate shall fulfill a minimum of two years of residential requirement from the date of registration for the degree. Thereafter, the candidates may be required to participate in academic activities in the Department/ Centre for not less than 30 days a year, till submission of the thesis.

this effect shall be submitted to the Head of the Department/Centre, who may issue a warning to the scholar in this regard. If the Supervisor believes the research scholar still needs to show progress, the DRC shall inquire into the matter and submit a report to the concerned Dean, who shall then place it before the School Board for consideration.

(2) A PhID scholar shall appear before the DRC at least once every six months to present the progress of her/his work for evaluation and further guidance. If the progress of the research scholar is unsatisfactory or the scholar fails to implement the corrective measures by the DRC, the Department may recommend with specific reasons to the School Board to cancel her/his PhD Registration. A copy of such recommendation shall also be provided to the scholar concerned.

To be deleted.

To be deleted.

(3) All PhD scholars shall ful fill a minimum of two years of residential requirement from the date of registration. Thereafter, research scholars shall be required to participate in acade mic activities in the Department/Centre for at least 130 days a year till submission of the thesis. Further, the Supervisor/Co-

		Further, on the production of periodical certificates from his Supervisor that the candidate has been in continuous touch with him and has acquainted himself adequately with the latest theories and methods in research in his field of specialization.	Supervisor shall submit periodic reports to the DRC indicating that a PhD scholar has been actively engaged in research and adequately acquainted with the latest theories and methods in her/his field of specialization.  (1) On completing coursework and obtaining the
Pre-submission Seminar	10.	7.	marks/grade prescribed in clause 5(3) above, a PhD scholar shall undertake research work, analyze data, and write her/his PhD thesis.
	Committee and applications of a place inches the committee of the committe	I. When the Supervisor of a candidate is of the opinion that the thesis of a candidate is in the final stage of completion, the supervisor shall ask the candidate to give a pre-submission seminar on her/ his research finding, at least 2 months in advance, which shall be open to all the faculty members of the Department/ Centre and other research students.	(2) Before submitting the thesis, a PhD scholar shall give a pre-submission seminar on her/his research findings before the Evaluation Committee, which shall also be open to all faculty members and other research scholars and students. The research scholar must suitably incorporate the comments/suggestions (if any) in the thesis made by the Evaluation Committee.
	A THE PARTY OF THE	II. The seminar shall be given in the present of the Evaluation Committee consisting of at least three teachers (one of which shall be the Supervisor, who shall be the convener and chair) of which one shall be from outside the Department /Centre to be nominated by the Dean of the School and one by the Head of the Department / Centre to be nominated by the Dean of the School and one by the Head of the Department / Centre concerned. The candidates may incorporate the recommendations of the	(3) The Evaluation Committee shall be constituted by the concerned Dean of School consisting of at least three teachers [one of which shall be the Supervisor, who shall be the convener and chairperson], of which one shall be from outside the Department/Centre to be nominated by the Dean of the School and one by the Head of the Department/Centre.
		Evaluation Committee.  III. A certificate that recommendations of the Pre- Submission have been incorporated by the candidate shall be given by the Supervisor concerned when the thesis is submitted for evaluation purposes	(4) The recommendation of the Evaluation Committee a prescribed format shall be forwarded to the Controller of Examinations for evaluation purposes. If the recommendation includes suggestions to be incorporate

			in the thesis of a research scholar, the Supervisor concerned shall be required to certify that such suggestions have been incorporated in the thesis.
Submission of Thesis	11.	8.  1. A candidate shall submit her/ his thesis for the Ph.D degree in which she/he may incorporate the text of any work which she/ he may have published on the subject, but she/ He shall not be permitted to submit the thesis containing material (s) she/he may have carried out for another degree in this University or any other in India or abroad for which she/ he has been conferred with another degree.	(1) A candidate shall submit the thesis for the PhD degree that may incorporate the text of any work that the candidate may have published on the subject, but she/he shall not be permitted to submit the thesis containing materials that have been carried out for another degree in this University or any other institutions.
		II. The thesis shall satisfy that it is a piece of research work characterized either by discovery of new facts or by fresh interpretation of facts or theories. In either case it should evince the candidate's capacity for critical examination, original thinking and judgement. It shall also be satisfactory so for as its literary presentation is concerned.  III. The thesis shall include a certificate from the Supervisor and the declaration from the candidate that the thesis incorporates the student's bonafide researches vouching that there is no plagiarism and that these have not been submitted for award of any degree in this or any other University or institute of learning.  IV. The application for submission of thesis shall be countersigned by the Head of the Centre of Studies. The Head of the Department/Centre of	(2) The PhD thesis shall satisfy that it is a research work characterized by discovering new facts or interpretations of facts or theories. In either case, it should reveal tive candidate's capacity for critical examination, original thinking, and judgement. It shall also be satisfactory as far as its literary presentation is concerned.  (3) A PhD scholar shall submit the thesis for evaluation along with (a) an undertaking from the PhD scholar that there is no plagiarism and (b) a certificate from the Supervisor attesting to the originality of the thesis and that the thesis has not been submitted for the award of any degree to any other Institution.  (4) The application for submission of the thesis shall be countersigned by the Head of the Concerned Department Centre of Studies. The Head of the Department/Centre of Studies will then forward the thesis together with the

		Studies will then forward the thesis together with the observations of the Committee for pre-submission seminar to the Controller of Examination for further necessary action.  VI. The photocopy of the coursework transcript shall be annexed to the copies of the thesis submitted.	observations of the Evaluation Committee based on the pre-submission seminar to the Controller of Examinations for further necessary action.  (5) The photocopy of the coursework transcript, if any, shall be annexed to the copies of the thesis submitted.
Panel of Examiners	12.	9. A Supervisor shall submit a panel of six names of examiners not below the rank of Readers/ Associate Professors in Indian or Universities abroad (including the supervisor as one of the members of the panel) in the prescribed proforma to the School Board through the Department/Centre for consideration and forwarding the same to the Academic Council and the Executive Council for consideration, at least six month ahead of the probable date of submission of thesis of a candidate	A Supervisor shall submit a panel of six examiners not below the rank of Associate Professor from Indian Universities or abroad (including the supervisor as one of the members of the panel) in the prescribed pro-forma to the School Board through the Department/Centre for consideration and forwarding the same to the Academic Council and the Executive Council, at least six months before submitting the thesis of a candidate.
Evaluation	13.	The thesis submitted by the candidate for the Ph.D Degree shall be examined by at least three examiners appointed by the Executive Council on the recommendation of the Academic Council. Two of the examiners shall be those who are not the staff of the University, while the third examiner shall be the Supervisor concerned. One of the external examiners shall be from outside the State of Meghalaya, except on such subject as maybe decided by the AC from time to time due to non-availability of examiners from outside the State of Meghalaya.	The PhD thesis submitted by a PhD scholar shall be examined by at least three examiners appointed by the Executive Council on the recommendation of the Academic Council. Two of the examiners shall be those who are not the staff of the University, while the third examiner shall be the Supervisor concerned. One of the external examiners shall be from outside the State of Meghalaya, except on such subject as may be decided by the Academic Council from time to time due to the non-availability of examiners outside the State of Meghalaya. Further, one of the external examiners may be chosen from outside India wherever possible.
Examiner's Report and Viva-Voce	14.	I1. I. Each examiner shall, after examining the thesis submitted by the candidate for the award of the Ph.D	(1) Having examined the thesis submitted by a candidate for the award of the PhD Degree, each examiner shall

suggestions and resubmit it to the Controller of the candidate should be asked to reply or modify the Examinations for forwarding to the concerned examiner thesis as suggested and resubmit the thesis to be within one year from the date of intimation of the forwarded to the concerned examiner within a period of requirement for modification by the University. If the one year from the date of intimation of the requirement of reply/modification by the candidate is neither accepted modification by the University. If the candidates decides by the examiner nor retrieved by the candidate, the to reply to the points/ observation raised by an examiner matter shall be referred to a Committee of Experts to be and if the reply is neither accepted by the examiner or appointed by the Vice-Chancellor. retrieved by the candidate the matter shall be referred to a Committee of experts to be appointed by the Vice-Chancellor. (6) A thesis shall be accepted for the award of the PhD VI. A thesis shall be accepted for the award of the Degree Degree subject to the unanimous recommendation of the examiners. If one of the external examiners recommends of Doctor of Philosophy on the unanimous recommendation of the examiners. If both the external rejection, the thesis should be referred to a fourth reject the thesis, no degree will be awarded. If there is a examiner nominated by the Vice-Chancellor from the difference of opinion between the external examiners it panel already approved by the Academic Council and the should be referred to a fourth examiner to be nominated Executive Council. If the 4th examiner rejects the thesis, by the Vice- Chancellor out of the panel already approved the candidate shall be declared ineligible for the award of by the Academic Council and the Executive Council. The the PhD degree. opinion of the 4th Examiner shall be final. (1) A candidate whose thesis has been referred back for 15. Resubmission of 12. I. A candidate whose thesis has been referred back for revision shall re-submit it for the award of the PhD Thesis revision shall re-submit it for the award of the Ph.D. Degree within six months from the date of intimation of Degree within 6 months from the date of intimation of the the decision of the University. decision of the University. (2) The PhD thesis which has been re-submitted shall be II. A thesis which has been re-submitted shall be examined by the same external examiner(s). In case an examined by the same external examiner(s). In case the examiner is unwilling to act as such, another examiner examiner(s) is/are unwilling to act as such, another may be appointed by the Vice-Chancellor from the examiner(s) may be appointed by the Vice-Chancellor approved panel of examiners. from the approved panel of examiners

Award of	16.	13.	to D. J. d
Degree		I. Based on the reports of the examiners and the performance report on the Viva-Voce examination the Dean of the School (on behalf of the School Board) may recommend the award of the degree to the Executive Council through the Academic Council.	(1) Based on the reports of the examiners and the performance report on the Viva-Voce examination, the Dean of the School, on behalf of the School Board, may recommend awarding the PhD degree to the Executive Council through the Academic Council.
		II. The University reserves the right to withdraw a Ph.D degree awarded to a candidate under exceptional circumstances.  Provided further that no Ph.D degree may be withdrawn from an awardee without adequate opportunity to the awardee to defend her/his case.	(2) The University reserves the right to withdraw a PND degree awarded to a candidate under exceptional circumstances.  Provided that no PhD degree may be withdrawn from an awardee without giving adequate opportunity to the awardee to defend her/his case.
PhD through	17.	14.	(1) The PhD programme through part-time mode will be
Distance Mode/Part time		i. Notwitstanding anything contained in this Ordinance or any other Regulations, the University shall not conduct Ph.D. programme through Distance Education Mode. ii. Part Time Ph.D programme shall be allowed provided all the conditions mentioned in the extant Ph.D. Ordinances/Regulations are met.	permitted, provided all the conditions stipulated in this Ordinance are fulfilled.  (2) The Department/Centre concerned shall obtain a "No Objection Centificate" through the candidate for a partime PhD programme from the appropriate authority in the organization where the candidate is employed, clearly stating that: (a) The candidate is permitted to pursue studies on a part-time basis, (b) His/her official duties permit him/her to devote sufficient time for research, and (c) If required, he/she will be relieved from the duty to complete the course work.  (3) Notwithstanding anything contained in this Ordinance or any other Regulations, the University shall not conduct a PhD programme through Distance and/or Online Mode.
MPhil Programme	18.		The University shall not offer the Master of Philosophy (MPhil) programme.

***************************************		Nothing in this provision shall impact the MPhil degree programmes commencing prior to the enactment of this Ordinance.
Depository with INFLIBNET	19.	Following the successful completion of the evaluation process and before the announcement of the award of the PhD degree(s), the Controller of Examinations shall submit an electronic copy of the PhD thesis to INFLIBNET for hosting the same to make it accessible to all other universities and research institutions.
Colleges and Rescarch Institutions Offering PhD Programmes	20.	(1) Postgraduate Colleges offering 4-year Undergraduate Programmes and/or Postgraduate Programmes may offer PhD programmes, provided they satisfy the availability of eligible Supervisors, required infrastructure, and supporting administrative and research facilities as per the provisions of this Ordinance approved by the Academic Council.  (2) Colleges and research institutions established by the Central government or a State government whose degrees are awarded by NEHU shall offer PhD programmes provided they have:  (a) At least two faculty members in a college or two PhD-qualified scientists in the research institution.  (b) Adequate infrastructure, administrative support, research facilities, and library resources approved by the Academic Council.
Commencement and Application	21.	This Ordinance shall be effective from the academic session as notified by the University and shall cover all PhD courses/programmes within the jurisdiction of the University. The award of degrees to candidates already registered and pursuing PhD programmes of the University shall be governed by this Ordinance OR the extant Ordinance in accordance with the UGC

	1		Regulations, 2016 and subsequent amendments, 2018.
Removal of Difficulties	į	Notwithstanding anything contained in the door	Chancellor, whose interpretation or decision thereon
ř.	1		Approved by AC, vide

Amended in pursuance of UGC-PhD Award Regulations, 2022, and AC Resolution, vide No.AC: 110:2023:5:5(ii); Approved by AC, vide No.AC:111:2023:5:1:(iv).

Annexuse (D).

### AMENDMENT TO REGULATION RC-23

# ON THE DOCTOR OF PHILOSOPHY PROGRAMMES (Under Ordinances OC-4)

		To indicate	Amendment
Title	1.	Existing This Regulation shall be called "Regulations on M.Phil and Ph.D, Programmes."	These Regulations shall be called the "NEHU (Amendment) Regulation OC-23 on PhD Programmes, 2023" (Under Ordinances OC-4)
Definitions	2.		In this Regulation, unless the context otherwise requires (1) "University" means North-Eastern Hill University (NEHU);  (2) "Executive Council" means the Executive Council of the University;  (3) "Academic Council" means the Academic Council of the University;  (4) "School Board" means a School of Studies of the University;  (5) "Department" means "a Department of Studies and includes a Centre of Studies of the University;  (6) "Programme" means courses of study and/or research leading to a degree of the Doctor of Philosophy (PhD);  (7) "Coursework" means the one-semester courses of study prescribed by a Department/Centre to be undertaken by a student registered for the PhD programme;  (8) "Course" means one of the units/papers which comprise a PhD programme;  (9) "Grade Point" means a numerical value of a letter grade on the 10-point grading scale;

			1000 110
			(10) "Cumulative Grade Point Average (CGPA)" means a measure of the overall cumulative performance of a student in all courses during the coursework semester; (11) "Supervisor/Co-Supervisor" means an academician or a researcher/scientist recognized by the University to supervise the PhD scholar for his/her research: (12) "Student/Scholar" means a person admitted to and pursuing a PhD programme of the University; (13) "Candidate" means an applicant for admission to a programme/examination of the University.
Admission	3.	i. The admission process shall be completed by dates stipulated in the University Calendar (Ordinance OC-15).	(1) The admission process shall be completed by the dates stipulated in the University Calendar (Ordinance OC-15).
		ii. There shall be a written admission test to be conducted by the Head of the respective department/ centre under supervision of the Dean of concerned school, followed by a personnel interview. The interview Board shall comprise of the Head as Chairperson and teachers eligible for	(2) There shall be an Admission Committee consisting of the Head of the Department/Centre concerned as Chairperson and all teachers eligible for supervision as members to oversee the admission process and conduct a personal interview of the candidates.
		supervision as members.  iii. Candidate who have cleared the M.Phil. Course work with at least 55% marks in aggregate or its equivalent grade B in the UGC 7 point scale shall be eligible to proceed to do research work leading to Ph.D. degree in the University. A relaxation of 5% marks or equivalent relaxation of grade may be allowed for those belonging to SC/ST/OBC (non-creamy layers)/differently-abled	(3) Candidates with at least 50% marks/scores in the Entrance Test conducted by the concerned Department/Centre shall be called for the personal interview. A relaxation of 5% of marks (from 50% to 45%) shall be allowed for the candidates belonging to SC/ST/OBC (non-creamy layers)/differently-abled categories.
		categories of candidates.	(4) Candidates who have qualified UGC-NET (including JRF)/ UGC-CSIR NET (including JRF)/SLET/GATE/

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Coursework	4.	JRF)/SLET/GATE/ICSSR NET/Teacher Fellowship holder or have passed M.Phil Programme shall be given an additional weightage of 10 points. vi. The Admission Committee shall complete the process and submit the combined merit list of the candidates to the Dean within 5 working days following the written test. i) The syllabus for course work of the Ph.D. programme may be the same as for the M.Phil. Course which shall be prescribed by the department/centre.	To be deleted [see 3(6) above]  (1) The syllabus for the coursework of the PhD programme shall be prescribed by the concerned Department/Centre and approved by the Academic Council.
		ii) Candidate with M.Phil. or equivalent degree or having completed M.Phil. Course work with prescribed CGPA may be exempted from coursework requirement.	(2) Candidates with an MPhil or equivalent degree (who have completed the coursework with a prescribed CGPA) shall be exempted from the coursework requirement.
		iii) The candidates shall complete 3(three papers) with 4 credits each as follows:  a) One paper on Research Methodology  b) Two papers as prescribed by the department/centre including electives, if any.	(3) The coursework structure shall comprise 4 (four) papers, of which <b>three</b> papers with <b>four</b> credits each, including a paper on Research Methodology and another with <b>two</b> credits on Research and Publication Ethics (RPE).
		iv) If the schools of Studies so desire, the papers on Research Methodology and/or Laboratory Techniques may be offered as School Level Papers. However, the paper(s) is/are to be prescribed by a particular department/ Centre of the School. Such a paper may be jointly serviced by teachers from other departments/centres of the	of teaching
		School. viii) A minimum of 75% attendance is compulsory for the students for the course work with a provision of condonation in attendance to maximum of 10% on exceptional grounds to be recommended by the Department/ Centre and approved by the Dean of the	1 1 -4 750/ of the

iv. The question papers for the written test will be provided by the department/centre to the Dean, two weeks ahead of the date for the test fixed each year.

v. The Admission Committee of respective department/centre, constituted for the purpose with not less than three teachers including the Head as Chairman, shall prepare a Merit list of all candidates in the manner prescribed as under.

A. Candidates shall have to obtain at least 50% marks in Entrance Test as qualifying marks.

B. A relaxation of 5% of marks (from 50% to 45%) shall be allowed for the candidates belonging to SC/ST/OBC (non-creamy layers)/differently-abled category in the Entrance Test.

C. For selection of candidates a weightage of 70% to the Entrance Test and 30% to Performance in the interview/viva voce shall be given.

D. Candidates who have qualified UGC-NET (including JRF)/ UGC-CSIR NET (including

ICSSR NET/Teacher Fellowship holders or who have passed the MPhil degree shall be given an additional weightage of 10 percentage points.

(5) For selection of candidates a weightage of 70% shall be given to the Entrance Test scores and 30% to candidates' performance in the interview/viva voce.

(6) The Admission Committee of a Department/Centre shall complete the admission process and submit a merit list of all candidates, in the manner prescribed under Sub-Clauses (3), (4) and (5) above, to the University through the Dean of the School concerned.

To be deleted

To be deleted

To be deleted [inserted in 3(3) above]

To be deleted [inserted in 3(3) above]

To be deleted [inserted in 3(5) above]

To be deleted [inserted in 3(4) above]

			UGC 10-point grading scale in the coursework to be eligible for final registration to the PhD programme (11) A student securing less than 4.0 grade points (40% marks) on the 10-point grading scale in each paper/course shall be declared unsuccessful/fail. (12) After the results of the PhD coursework are declared, Grade Cards shall be issued to the students, which contain the list of papers, codes, grades, grade points, marks obtained, and such.
Submission	5.	4. The following documents shall be submitted by the candidate along with the M.Phil./Ph.D. thesis;	(1) The following documents shall be submitted by a candidate along with the PhD thesis:
		a) four hard-bound copies of the thesis in case of Ph.D. and 3 hard bound copies in case of M.Phil., as the case may be in the prescribed format of the University duly signed by the Supervisors) and forwarded by the Head of the Department/centre concerned;	(a) Four hard-bound copies of the PhD thesis in the prescribed format of the University duly signed by the Supervisors and forwarded by the Head of the Department/Centre concerned;
		b) An equal number of copies of abstract of the thesis not exceeding 5000 words with a one page CV of the candidate; c) A soft copy of the thesis in Ms-Word format in CD Read-only format:	(b) No change  (c) An electronic copy of the thesis in PDF format through a designated e-mail as prescribed by the Examination Department soon after accepting the hard
		d) Clearance of Tuition Fee, Examination Fee, and other fees payable by the candidate; e) In case of a teacher of the University, the fee payable shall be only Examination Fee; f) Hostel clearance certificate from Dean of Students Welfare;	copies and necessary documents: (d) No change (e) No change (f) No change

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#### School concerned

v) The distribution of weightage on continuous assessment and the end semester examination shall be 25:75.

vi) The evaluation of the papers shall be on UGC-7 point scale as follows:

Grade	Grade Point	Percentage Equivalent	
'O'= Outstanding	5.50-6.00	75%-100%	
'A'= Very Good	4.50-5.49	65%- < 75% .	
'B'= Good	3.50-4.49	55%- < 65%	
'C'=Average	2.50-3.49	45%- < 55%	
'D'= Below Average	1.50-2.49	35% - < 45%	
'E'=Poor	0.50-1.49	25%- < 35%	
'F'= Fail	0-0.49	()%- < 25%	
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Where < means less than.

vii) Grade points shall be awarded in the manner described under clause 17 of OC-7. Cumulative Grade Point Average (CGPA) shall be awarded on the basis of the final percentage of marks secured by the candidate in accordance with clause vi above.

Department/Centre may recommend condoning a shortage of attendance up to 10% and approved by the Dean of the School concerned.

(6) The weightage for the continuous assessment and the end-semester examination shall be 1: 3 or 25:75 marks.

(7) On successful completion of the PhD coursework, the Cumulative Grade Point Average (CGPA) may be calculated as follows:

$$CGPA = \frac{\sum_{i=1}^{N} (C_i \times GP_i)}{\sum_{i=1}^{N} C_i}$$

where N is the total number of credit courses/papers in the coursework for the PhD programme.

(8) The CGPA shall be rounded off to two decimal points and reported in the transcripts.

(9) The letter grade, grade point, and equivalent percentage of marks shall be as follows:

Letter Grade	Grade Point	% Marks	Description
0	10	90 to 100	Outstanding
A+	9	80 to < 90	Excellent
A	8	70 to < 80	Very Good
B+	7	60 to < 70	Good
В	6	50 to < 60	Above Average
С	5	45 to < 50	Average
P	4	40 to < 45	Pass
F	0	Below 40	Fail/Absent

(10) A PhD scholar shall be required to obtain a minimum of 55% marks or equivalent CGPA on the

		g) Library clearance from the University Central Library and the Department/Centre library, if any; h) Laboratory and stores clearance from Department/Centre duly countersigned by the supervisor and Head of the concerned Department/Centre; and i) Financial clearance from NEHU Finance Section.	(g) No change  (h) No change  (i) No change
Re-Submission  15. Re-submission  16. S. Re-submission  16. If, an M.Phil/Ph.D. candidate is asked to resubmit the thesis of dissertation with suggested modifications, she/he shall re submit the dissertation/ thesis within a maximum of 6 months (2 months, in case of an M.Phil.) from the date of letter of re-submission of dissertation/thesis from the Controller of Examination. The candidate must fulfill the failing we the conditions laid down in 4.(a) & (c) of this Regulations, forfeited		5. Re-submission If, an M.Phil/Ph.D. candidate is asked to resubmit the thesis of dissertation with suggested modifications, she/he shall re submit the dissertation/ thesis within a maximum of 6 months (2 months, in case of an M.Phil.) from the date of letter of re-submission of dissertation/thesis from the Controller of Examination. The candidate must fulfill the conditions laid down in 4.(a) & (c) of this Regulations, failing which the candidate shall be deemed to have forfeited her/ his rights for evaluation and award of the	A PhD candidate who is asked to revise the thesis with suggested modifications shall re-submit the thesis within six months from the date of the letter of re-submission from the Controller of Examinations. The candidate must fulfill the conditions in Clause 5 of this Regulation, failing which the candidate shall be deemed to have forfeited her/his rights for evaluation and award of the PhD degree.
Evaluation	7.	6. Evaluation I. After submission of the thesis with necessary documents submitted as per clause 4 above, the process of evaluation shall commence immediately.  II. The thesis shall be dispatched to the examiners (external and internal) within 2 months of submission of the thesis.	<ol> <li>(1) The evaluation process shall be effective immediately after a candidate submits the thesis with the necessary documents prescribed under Clause 5 above.</li> <li>(2) The thesis shall be dispatched to the examiners (external and internal) within two months of submission. If desired, an electronic copy of the thesis may be forwarded to the examiners.</li> </ol>
		III. The examiner(s) shall submit evaluation report within two months of receipt of the thesis in case of Ph.D. and within one month of receipt of thesis in case of M.Phil. Degree. An extension of one more month for evaluation may be allowed on receipt of such request from the examiner. If the examiner fails to submit the evaluation	(3) The examiner(s) shall submit the evaluation report within three months of receipt of the PhD thesis. An extension of one more month for evaluation may be allowed upon receiving a request from the examiner. If the examiner fails to submit the evaluation report within the stipulated time, the appointment of that examiner

		report and other such documents within stipulated time, the appointment 'of the said examiner(s) may be cancelled with intimation and another/ other examiner(s) may be appointed from the panel approved for the purpose within two weeks of cancellation.	may be cancelled with intimation to her/him. In that case, another examiner may be appointed from the panel approved for the purpose within two weeks of the cancellation.
		IV. It shall be the duty of the Controller of Examinations to see that in case of M.Phil. Degree the final result of the candidate is announced no later than 6 months from the date of submission of the thesis and in case of Ph.D. candidate, no later than 12 months from the date of submission of the thesis.	(4) It shall be the duty of the Controller of Examinations to see that the final result is announced by 12 months from the date of submission of the PhD thesis.
		V. If the Controller of Examinations is unable to declare the result of the candidate within the stipulated time, for reasons beyond control of the concerned office, the University may refund the examination fee to the candidate.  VI. In such cases, the Controller of examinations shall enquire into the matter and if it is found that the delay caused due to negligence on part of the concerned functionary (ies) of the University, appropriate administrative action on such employees shall be taken, including recovery of examination fee refunded to the candidate from such employee (s).	(5) If the result cannot be declared within the stipulated time for reasons beyond control, the Dean of the School concerned may be intimated with reasons for the delay. In such cases, the Controller of Examinations shall inquire whether the delay is due to negligence on the part of the concerned functionary of the University. In the meantime, the evaluation process of the thesis must be carried out on a top-priority basis, and the result shall be declared within two months.  [Note: existing 6(V) and (VI) modified in 7(5) above]
Viva-Voce Examination	8.	7.  i. The abstract of the thesis shall be posted on the University website along with the venue, date and time of the 'open defence' of the candidate at least one week ahead of the defence.  ii. During the Viva-Voce examination, the candidate may	(1) The Viva-Voce Board shall consist of the Supervisor/Co-Supervisor as Convener and Chairperson and one of the two external examiners, and it may be conducted ONLINE. The venue, date, and time shall be notified at least one week before the Viva-voce examination of a candidate.
		be asked for a formal presentation of the objectives, methods and findings of the thesis within 60 minutes. The	(2) During the Viva-Voce examination, a candidate may be asked for a formal presentation of the objectives, methods, and findings of the thesis within 60 minutes.

	Supervisor who shall have powers to conduct the meeting	candidate, including queries by the second external examiner. After that only, the discussion shall be open to others, and there shall be no cross-examination by the audience.
9.	i. Upon award of the degree of Ph.D., the softcopy of final version of the thesis shall be submitted by the candidate through the supervisor concerned within 30 days of award to the University Central Library for the Depository services/uploading on the University Website as well as on Inflibnet.  ii. After the award, the COE shall provide a copy of the comments of the examiners to the candidate so that the candidate, If so desires, improves upon the thesis for the purposes of the publication if any.  iii. If, the examiners are unanimous that the thesis is important enough to be published, the candidate can submit the same if she/he wishes to NEHU publication unit (OG-1) for purposes of publication as to research monograph of the University. The Copyright in such cases shall vest with the author and the University jointly. The author shall be entitled to royalty on the publication as per	
10.	rules of the University on such matters	This Regulation shall be effective from the academic session as notified by the University and shall cover all PhD courses/programmes within the jurisdiction of the University. The award of degrees to candidates already registered and pursuing PhD programmes of the
The state of the s	9.	audience. It shall be a formal presentation, chaired by the Supervisor who shall have powers to conduct the meeting in the best tradition possible.  8.  i. Upon award of the degree of Ph.D., the softcopy of final version of the thesis shall be submitted by the candidate through the supervisor concerned within 30 days of award to the University Central Library for the Depository services/uploading on the University Website as well as on Inflibnet.  ii. After the award, the COE shall provide a copy of the comments of the examiners to the candidate so that the candidate, If so desires, improves upon the thesis for the purposes of the publication if any.  iii. If, the examiners are unanimous that the thesis is important enough to be published, the candidate can submit the same if she/he wishes to NEHU publication unit (OG-1) for purposes of publication as to research monograph of the University. The Copyright in such cases shall vest with the author and the University jointly. The author shall be entitled to royalty on the publication as per rules of the University on such matters

		extant Regulation in accordance with the UGC Regulations, 2016, and subsequent amendments, 2018.
Removal of Difficulties	11.	Notwithstanding anything contained in this Regulation, any difficulty in interpreting or giving effect to any provision of this Regulation shall be referred to the Vice-Chancellor, whose interpretation or decision thereon
1%		shall be final.

Approved by the 101st Academic Council vide Regulation No.Ac:101:2018:5:1: (vi) held on 19.11.2018 and 170th Executive Council vide Resolution No. EC: 170:2018:5:1: (v) held on 24.11.2018.

Amended in pursuance of UGC-PhD Regulations, 2022, and AC Resolution, vide No.AC:110:2023:5:1(i); approved by AC, vide No:AC:111:2023:5:1:(iii)